

# Working Group Markets Schedule Q2 to Q4 2022



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# Working Group Markets Schedule Q2 to Q4 2022



The objective of the **Non-Financial Risk Management Working Group** is to drive effective collaboration across members of the International COO Community (iCOOC) to establish the commercial case for non-financial risk management (commercial differentiation and franchise protection). At year end (2022), the group will produce an industry paper: **Defining and Managing Non-Financial Risk Management.**

2022 Schedule	Q2	Q3	Q4	Requested attendee
Non-Financial Risk Management Working Group	<b>22<sup>nd</sup> June (EMEA)</b> F2F Dinner 18:30 – 21:00 BST	<b>27<sup>th</sup> September (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>21<sup>st</sup> November (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT <b>13<sup>th</sup> October (APAC)</b> 16:30 – 17:30 HKT	Global Head of Business Controls or NFR



The objective of the **Innovation Working Group** is to drive effective collaboration across the members of the International COO Community (iCOOC) to improve their ability to influence and manage the innovation agenda. The Markets sector continues to face significant changes. The additions of new technologies that focus on innovation increase the need to be digitally automated in the evolving landscape. At year end (2022), the group will produce an industry paper: **How best to support, quantify and deliver innovation.**

2022 Schedule	Q2	Q3	Q4	Requested attendee
Innovation Working Group	<b>28<sup>th</sup> June (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>28<sup>th</sup> July (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>5<sup>th</sup> October (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	Global Head of Strategic Change, Transformation and/or Architecture

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The objective of the **ESG Data and Education Management Working Group** is to drive effective collaboration across members of the International COO Community (iCOOC) to understand what data the industry needs, and the benchmarks and frameworks needed to analyse and standardise it. In parallel, this group will improve iCOOC members' ability to implement educational initiatives to embed ESG factors into everyday decision-making within the business.

Concurrently, pressure is mounting to integrate ESG considerations into investment approaches. As the pressure mounts, the challenges mount which include understanding new regulations, inconsistent ESG data and an absence of standard ESG terms, metrics, and reporting frameworks. At year end (2022), the group will produce an industry paper: **Formulating a common approach for the standardisation of ESG Data.**

2022 Schedule	Q2	Q3	Q4	Requested attendee
ESG Data and Education Management Working Group	<b>13<sup>th</sup> May (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>24<sup>th</sup> August (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>6<sup>th</sup> October (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	Head of ESG Data Management/ Architectural Design



The objective of the **Workforce Management Working Group** is to drive effective collaboration across members of the International COO Community (iCOOC) to establish and share best practices for managing a hybrid workforce. At year end (2022), the group will produce an industry paper: **Managing a hybrid workforce.**

2022 Schedule	Q2	Q3	Q4	Requested attendee
Workforce Management Working Group	<b>23<sup>rd</sup> June (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>25<sup>th</sup> July (EMEA, NA &amp; APAC)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT <b>and</b> <b>20<sup>th</sup> July (APAC)</b> 16:30 - 17:30 HKT	<b>4<sup>TH</sup> October (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT <b>and</b> <b>13<sup>th</sup> October (APAC)</b> 16:30 – 17:30 HKT	Global or Regional COO

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The objective of the **1st Line Business Management Working Group** is to drive effective collaboration across the members of the International COO Community (iCOOC) to establish a fresh perspective as to what opportunities exist for enhancing control efficiency and cost savings. At year end (2022), the group will produce an industry paper defining the go to destination and future target operating model for 3LoD.

2022 Schedule	Q2	Q3	Q4	Requested attendee
1st Line Business Management Working Group	<b>29<sup>th</sup> June (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>2<sup>nd</sup> September (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT  <b>9<sup>th</sup> September (APAC)</b> 16:30 – 17:30 HKT	<b>4<sup>th</sup> November (EMEA, NA &amp; APAC)</b> 9:00- 10:30 GMT / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 GMT / 9:00 -10:30 EDT	Global/Regional Heads of 1st Line Control/Conduct or 2nd Line Compliance



The objective of the **Operations Working Group** is to drive effective collaboration across members of the International COO Community (iCOOC) to outline the future target operating model for operations, and to share best practices on outsourcing, including selection, management, and exit. At year end (2022), the group will produce Industry Paper: **(a) The target operating model for Market Operations** and **(b) Aide Mémoire: Outsourcing selection, management and exit.**

Existing operating models within the Markets industry are undergoing vast transformation, driven by a pressure to reduce costs in an environment where operating and compliance costs are ever-increasing. New technologies and strategic partnerships make it possible for COO's to retain a competitive advantage under increasing financial pressure. As such, digital transformation and outsourcing must be integrated into the design and implementation of the target operating model.

2022 Schedule	Q2	Q3	Q4	Requested attendee
Operations Working Group	<b>27<sup>th</sup> June (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>8<sup>th</sup> September (EMEA, NA &amp; APAC)</b> 9:00- 10:30 BST / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>10<sup>th</sup> November (EMEA, NA &amp; APAC)</b> 9:00- 10:30 GMT / 16:00 – 17:30 HKT <b>and</b> 14:00 -15:30 GMT / 9:00 -10:30 EDT	Global Head of Operations

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The objective of the **Algo Trading Working Group** is to drive effective collaboration across members of the International COO Community (iCOOC) to establish and share best practices. At year end (2022), the group will produce a COO Industry Paper: **Defining the Go-To Destination and Future Target Operating Model for the 3LoD**. This will be presented at year end and the Non-Financial Risk Summit in Q1 of 2023.

2022 Schedule	Q2	Q3	Q4	Requested attendee
Algo Trading Controls and Governance	<b>26<sup>th</sup> May (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>22<sup>nd</sup> September (EMEA &amp; NA)</b> 14:00 -15:30 BST / 9:00 -10:30 EDT	<b>28<sup>th</sup> November (EMEA &amp; NA)</b> 14:00 -15:30 GMT / 9:00 -10:30 EDT	Head of Supervision



The objective of the **Compensation Index Working Group** is to work alongside the NFR Management Working Group to provide effective collaboration across members of the International COO Community (iCOOC). At year end (2022), the combined group will produce an industry paper: **Defining and managing Non Financial Risk Management**.

2022 Schedule	Q2	Q3	Q4	Requested attendee
Compensation Index	<b>26<sup>th</sup> July</b> 14:00 -15:00BST / 9:00 -10:00 EDT	<b>28<sup>th</sup> September</b> 14:00 -15:00 BST / 9:00 -10:00 EDT	<b>9<sup>th</sup> November</b> 14:00 -15:00 GMT / 9:00 -10:00 EDT	COO Compensation Managers



The objective of the **EMEA Regulatory and NA Regulatory forums** is to globally collaborate across members of the International COO Community (iCOOC) to establish and share best practices for managing regulations; discussion hurdles for progress and a look forward at what is to come. The group will produce an Industry Paper: **'Extra Bodies, Not The Right Answer But The Easiest One' Transforming Regulatory Management Through Technological Innovation**.

2022 Schedule	Q2	Q3	Q4	Requested attendee
EMEA Regulations	<b>16<sup>th</sup> May</b> 14:00 -15:00 BST	<b>27<sup>th</sup> July</b> 14:00 -15:00 BST	<b>15<sup>th</sup> November</b> 14:00 -15:00 GMT	EMEA Regulatory Head
NA Regulations	<b>7<sup>th</sup> June</b> 9:00 -10:00 EDT	<b>7<sup>th</sup> September</b> 9:00 -10:00 EDT	<b>22<sup>nd</sup> November</b> 9:00 -10:00 EDT	North America Regulatory Head

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